

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Shrenik Deliwala :  
Serial No.: Not yet known : Attorney Docket No.: 53168-500301D6  
Filed: February 20, 2002 :  
For: INTEGRATED OPTICAL/ELECTRONIC :  
CIRCUITS AND ASSOCIATED :  
METHODS OF SIMULTANEOUS :  
GENERATION THEREOF :

JC825 U.S. PTO  
10/079668  
02/20/02

#14  
5/30/02  
M. Prud'homme

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. In compliance with 37 C.F.R. § 1.98(d), copies of the patents and publications set forth below and listed on the attached Forms PTO-1449 and PTO/SB/08A (5 sheets) have not been provided because they were cited in grand parent application no. 09/859,693 or parent application no. 09/991,542.

EXPRESS MAIL CERTIFICATE (37 C.F.R. § 1.10)

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Date of Deposit February 20, 2002

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name Daniel H. Golub

Signature [Signature]

Patent No.	Patentee	Issue Date
6,177,685 B1	Teraguchi et al.	January, 2001
6,188,818 B1	Han et al.	February, 2001
6,166,846	Maloney	December, 2000
6,075,908	Paniccia et al.	June, 2000
6,122,419	Kurokawa	September, 2000
5,864,642	Chun et al.	January, 1999
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5,835,646	Yishimura et al.	November, 1998
5,696,862	Hauer et al	December, 1997
4,677,783	Cratsa	July, 1987
5,638,469	Feldman et al.	June, 1997
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5,625,729	Brown	April, 1997
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5,605,856	Goosen et al.	February, 1997
5,568,574	Tanguay, Jr. et al.	October, 1996
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5,159,700	Reid, deceased et al.	October, 1992
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4,917,450	Pocholle	April, 1990
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4,865,427	Kingston et al.	September, 1989
4,761,620	Bar-Joseph et al.	August, 1988
4,758,092	Heinrich et al.	July, 1988
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Patent Appl. Publication No.	Patentee/Applicant	Publication Date
US 2001/0031112 A1	Frish et al.	October 18, 2001
US 2001/0031113 A1	Frish et al.	October 18, 2001

### **Other References**

Michael C. Parker et al., Applications of Active Arrayed-Waveguide Gratings in Dynamic WDM Networking and Routing, Journal of Lightwave Technology, Vol. 18, No. 12, December 2000.

Bardia Pezeshki et al., Vertical Cavity Devices as Wavelength Selective Waveguides, Journal of Lightwave Technology, Vol. 12, No. 10, October 1994.

Lucent Technologies, Bell Labs Innovations, Arrayed Waveguide Grating Multiplexer/Demultiplexer, January 2000.

Robert Shi and Tomasz Jansson, Optical Interconnection Foundations and Applications, Chapter 5, Integrated Optical Waveguide Routing—Micro-optics, Artech House, pp. 141-225.

Amalia N. Miliou et al., A 1.3- $\mu\text{m}$  Directional Coupler Polarization Splitter by Ion Exchange, Journal of Lightwave Technology, Vol. 11, No. 2, February 1993.

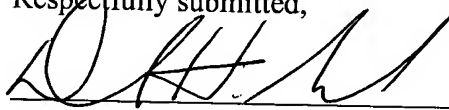
Gijs J. M. Krijnen et al., A New Method for the Calculation of Propagation constants and Field Profiles of Guided Modes of Nonlinear Channel Waveguides Based on the Effective Index Method, Journal of Lightwave Technology, Vol. 12, No. 9, September 1994.

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Forms PTO-1449 and PTO/SB/08A with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Respectfully submitted,



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